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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER JM-046 US

CONCERNING A SUBMIS	U.S. ARPLICATION NO (If known, see 37 CFR 1.5)							
INTERNATIONAL APPLICATION NO. PCT/EP2003/008245								
TITLE OF INVENTION APPARATUS FOR SEALING PUNCTURES IN BLOOD VESSELS								
APPLICANT(S) FOR DO/EO/US ABBOTT LABORATORIES VASCULAR ENTERPRISES, LIMITED								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. The US has been elected (Article	The US has been elected (Article 31).							
5. X A copy of the International Appl	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. X is attached hereto (re	a. X is attached hereto (required only if not communicated by the International Bureau).							
b. has been communica	b. has been communicated by the International Bureau.							
c. is not required, as the	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. An English language translation	n of the International Application as filed (35 U.S.	.C. 371(c)(2)).						
a. is attached hereto.	a. is attached hereto.							
b. has been previously s	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
a. are attached hereto	a. are attached hereto (required only if not communicated by the International Bureau).							
b. have been communi	b. have been communicated by the International Bureau.							
c. have not been made	c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. X have not been made	d. X have not been made and will not be made.							
8. An English language translatio	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the in-	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
	O. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:								
11. An Information Disclosure State	ement under 37 CFR 1.97 and 1.98.							
	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.								
14. An Application Data Sheet und	An Application Data Sheet under 37 CFR 1.76.							
15. A substitute specification.	A substitute specification.							
16. A power of attorney and/or cha	A power of attorney and/or change of address letter.							
17. A computer-readable form of the	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18. A second copy of the published	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20. X Other items or information: Cor	by of form PCT/IB/304, Formal Drawings, Cert	tificate of Express Mailing & Return Receipt Postcard						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JT12 Rec'd PCT/PTO 3 1 JAN 2005

PTO-1390 (Rev. 12-2004)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2003/008245			ATTORNEY'S DOCKET NUMBER JM-046 US				
N/A		211	FO1/EF2003/0002				lors 1
	ng fees are submitted:				£300.00	Applicant use \$ 300.00	Office use only
X b) Examination fee\$200.00				\$ 200.00	-		
X c) Search fee\$500.00				\$ 500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$ 1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						•	
Total Sheets	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number)				
79 - 100 =	0 /50 =	0			x \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					e earliest	\$	
CLAIMS	NUMBER FIL	.ED	NUMBER EXTRA		RATE	\$	
Total claims	35	- 20 =	15	×	\$50.00	\$ 750.00	
Independent clai	ms 4	- 3 =	1	×	\$200.00	\$ 200.00	
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$ 0.00	
			TOTAL OF ABOVE			\$ 1,950.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$ 0.00		
	SUBTOTAL = \$ 1,950.00						
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							
_			тота	L NATI	ONAL FEE =	\$ 1,950.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 0.00							
TOTAL FEES ENCLOSED =					\$ 1,950.00		
Amount to be refunded:					\$		
Amount to be charged \$					\$		
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. X Please charge my Deposit Account No. 50-2298 in the amount of \$ 1,950.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. X  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2298. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:						•	
Nicola A. Pisano						15	
Luce, Forward, Hamilton & Scripps LLP							
11988 El Camino Real, Suite 200 Nicola A. Pisano							
San Diego, CA 92130 34,408							
REGISTRATION NUMBER							

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Randolf von Oepen et al.

Serial No.

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Filed

Herewith

For

APPARATUS FOR SEALING PUNCTURES IN BLOOD

**VESSELS** 

Group Art Unit

Unassigned

Examiner

Unassigned

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

"Express Mail" mailing label No.

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Date of Deposit:

January 31, 2005

I hereby certify that the enclosed PTO-1390 form (2 pages); Preliminary Amendment (8 pages); Application Data Sheet (7 pages); copy of PCT Publication No. WO 2004/012601 A3; Formal Drawing Sheets (20 sheets); Copy of PCT/IB/304 form (1 page); and return-receipt postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Mail Stop PCT Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

January 31, 2005	Ly Al
Date	Leigh A. Coleman